

GOYAL SECURITIES

Regd. Office: 511, Vth Floor, Sun N Moon Chambers, Plot No S-4, Kalwad Scheme, Near Ajmer Flyover, Jaipur,
Rajasthan-302006

Policy for Unauthentic news circulation

In view of SEBI & Exchange Circulars on the subject 'Unauthenticated news circulated by SEBI registered market intermediaries through various modes of communication the company has decided to adopt following Internal checks :

- Our employees are restricted from circulation of rumors or unverified information obtained from client, industry, any trade or other sources without verification.
- The employees are not allowed to access to blogs/chat forums/messenger sites (called by this or any other nomenclature) etc. However, the employees may be allowed to access these blogs/chat forums/messenger sites under strict supervision of the concerned authorities.
- Company permits the usage of Internet in office premises for official purposes only.
- No websites other than the websites of Company, Exchanges, Banks, SEBI, NSDL/CDSL, Income Tax etc. shall allowed to be accessed in office premises.
- Social Networking sites, chats, messengers SMS messaging shall not be allowed on office computers.
- Emails Accounts created on company domain shall be used for official purpose only.
- If any employees possess any price sensitive information about any stock same shall be bought to the notice of the compliance officer and in no case same shall be passed on to any client or acted upon by the employee to take exposure in his / his relatives accounts.
- As a policy company does not give any stock specific recommendation to any of the clients of the company and all employees are expected not to give any such recommendation whether gained in company or from outside.

Further following circulars are the integral part of policy which can be access by SEBI & exchanges sites in circular section-

SEBI circular no Cir/ ISD/1/2011 dated March 23, 2011

SEBI circular no. Cir/ISD/2/2011 dated Mach 24, 2011

NSE circular NSE/INSP/51770 dated March 25, 2022

NSE circular NSE/INSP/52900 dated July 06, 2022

Circular annexed.

Compliance Officer